



The Vanguard 529 College Savings Plan Trustee Certification

- Use this form to identify trustees when a trust account is established, when the identity and/or number of trustees has changed, or when the trustees are not identified in the registration of The Vanguard 529 College Savings Plan (The Vanguard 529) account(s) identified in **Section 1** below. All trustees must sign in **Section 4**.
- Proposed transaction must be completed within 60 days of notary date.
- If you open a new trust account, you must also complete an Enrollment Application and attach a copy of the pages of the trust that show the name of the trust, the trust date and listing of all trustees and their signatures.
- For assistance in determining the conditions of your trust or the trust's authority, consult legal counsel. The Vanguard 529 will not review or interpret trust documents.
- Print clearly, preferably in capital letters and black ink.

Forms can be downloaded from our website at vanguard.com/serviceforms. Or you can call us toll-free to order any form at **866-734-4530** on business days from 8 a.m. to 8 p.m., Eastern time. Return this form and any other required documents in the enclosed postage-paid envelope, or mail to **The Vanguard 529 College Savings Plan, P.O. Box 55111, Boston, MA 02205-5111**. For overnight delivery or registered mail, send to **The Vanguard 529 College Savings Plan, 95 Wells Avenue, Suite 155, Newton, MA 02459-3204**.

1. Trust information

Account number *To list more than three accounts, use a separate sheet.*

Account number
Account number
Account number

Name of trust *Provide the full, legal name of the trust.*

Social Security number or other taxpayer ID number

Date of trust agreement *mm/dd/yyyy*

2. New trustee information

Important: Please complete for all new trustees. Completing this section will add new trustees whether a trust account is being established or new trustees are being added to an existing account. Unless removed in **Section 3**, all current trustees on The Vanguard 529 Plan's records will remain.

If you are appointing a corporation or other business entity as trustee, you must attach a Vanguard 529 *Organization Resolution* form dated within the last 60 days. If you need more space to list additional trustees, photocopy this page.

Trustee name

Name of individual *first, middle initial, last* or organization



Social Security number or other taxpayer ID number	Daytime phone <i>area code, number, extension</i>	Mobile
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Check this box if you want to receive duplicate statements.

Street address		
City	State	Zip

Trustee name

Name of individual <i>first, middle initial, last</i> or organization		
Social Security number or other taxpayer ID number	Daytime phone <i>area code, number, extension</i>	Mobile

Check this box if you want to receive duplicate statements.

Street address		
City	State	Zip

3. Departing trustee information *if applicable*

A.

Name of trustee <i>first, middle initial, last</i>
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Reason for departure

<p>Incapacity. Attach a physician's certification of incapacity on physician's letterhead dated within 30 days, or a certified copy of the court order of guardianship or conservatorship of the trustee.</p>
<p>Death. Attach a certified copy of his or her death certificate.</p>
<p>Resignation/removal. Choose one of the following options:</p> <ul style="list-style-type: none"> • Attach a signed letter of resignation. • Provide a certified board resolution, Certificate of Appointment and Incumbency, or other documentary evidence of the removal of the trustee.

B.

Name of trustee *first, middle initial, last*

Reason for departure

- Incapacity.** Attach a physician’s certification of incapacity on physician’s letterhead dated within 30 days, or a certified copy of the court order of guardianship or conservatorship of the trustee.
- Death.** Attach a certified copy of his or her death certificate.
- Resignation/removal.** Choose one of the following options:
- Attach a signed letter of resignation.
 - Provide a certified board resolution, Certificate of Appointment and Incumbency, or other documentary evidence of the removal of the trustee.

4. Certification of all trustees

All trustees (continuing and new) of the trust certify by signing on the next page that:

- The trust is valid and in full force and effect as of the date of this certification; the trustees have full authority under the trust document and applicable law to enter into investment portfolio transactions on behalf of the trust, including the purchase, sale, exchange, transfer, and redemption of 529 assets; and the trustees may issue general instructions as well as execute and deliver documents on behalf of the trust.
- The trustees listed and signing this form are all currently serving or are new trustees.

_____ **Number of trustee signatures required to take any written action on behalf of the trust.** If a specific number is not provided, the signature of any one trustee will be accepted for written transactions. Phone requests may be made by any single trustee.

The trustees acknowledge that neither The Vanguard 529 nor The Vanguard 529 Plan Officials (as defined below) have reviewed the trust document and understand that The Vanguard 529 and The Vanguard 529 Plan Officials are relying on the statements made in this certification.

The trustees agree to inform The Vanguard 529 of any amendment of the trust that would impact the information in this certification.

The current and new trustees of the trust named in Section 1 hereby declare that all statements made in this certification are true and correct to the best of each trustee’s knowledge, that all actions taken and instructions given by any of the trustees are within such trustee’s authority under the trust document and applicable law, and agree that this certification is binding upon the trust, its beneficiaries, and all future trustees. Each trustee named below agrees, on behalf of the trust, to indemnify and hold The Vanguard Group, Inc.; Vanguard Marketing Corporation; Ascensus Broker Dealer Services, Inc.; Ascensus Investment Advisors, LLC; The State of Nevada and any governmental agency; the Board of Trustees of the College Savings Plans of Nevada; each of their affiliates, employees, officers, or agents; and each of the investment company members of The Vanguard Group and their respective officers, employees, and agents (collectively “The Vanguard 529 Plan Officials”) harmless from and against all losses, claims, and expenses (including attorney’s fees) of any kind incurred by The Vanguard 529 Plan Officials for relying in good faith upon this certification.

- If I am a U.S. citizen, a U.S. resident alien, or a representative of a U.S. entity, I certify under penalty of perjury that the taxpayer identification number I have given on this form as mine is correct (or I am waiting for a number to be issued to me).

All continuing and new trustees must sign, date, and have their signatures notarized. There are two trustee signature sections that follow. If additional signatures are required, provide them on a photocopy of this page.

Name of trustee <i>first, middle initial, last</i>	
Signature of trustee X	Today's date <i>mm dd yyyy</i> M M - D D - Y Y Y Y

Notarization/Affidavit of trustee

(Proposed transaction must be completed within 60 days of notary date. Your signature must be notarized. See below. We cannot accept a signature guarantee in place of a notary's seal.)

State of	and	County of
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This document was acknowledged before me on _____ (date) by _____, (name of account owner), who certifies the correctness of the signature of the account owner.

Signature of notary public X	Today's date <i>mm dd yyyy</i> M M - D D - Y Y Y Y
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Notary public's name <i>first, middle initial, last</i>	Notary to place seal here
My commission expires <i>mm/dd/yyyy</i>	

Name of trustee <i>first, middle initial, last</i>	
Signature of trustee X	Today's date <i>mm dd yyyy</i> M M - D D - Y Y Y Y

Notarization/Affidavit of trustee

(Proposed transaction must be completed within 60 days of notary date. Your signature must be notarized. See below. We cannot accept a signature guarantee in place of a notary's seal.)

State of	and	County of
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This document was acknowledged before me on _____ (date) by _____, (name of account owner), who certifies the correctness of the signature of the account owner.

Signature of notary public X	Today's date <i>mm dd yyyy</i> M M - D D - Y Y Y Y
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Notary public's name <i>first, middle initial, last</i>	Notary to place seal here
My commission expires <i>mm/dd/yyyy</i>	

Reminders

If you're setting up a new trust account:

- **Attach this form** to the enrollment application when selecting a trust registration.
- **Include copies of the first and last page of the trust agreement** that contain the name and date of the trust, as well as the names and signatures of the trustees.

If a trustee if:

- **Incapacitated.** Attach a physician's certification of incapacity on physician's letterhead dated within 30 days, or a certified copy of the court order of guardianship or incompetence of the trustee.
- **Deceased.** Attach a certified copy of his or her death certificate. If the deceased trustee's Social Security number was the tax ID number for the trust account, an existing trustee must submit a signed letter of instruction with an IRS form W-9 to update the number.
- **Resigning or being removed.** Attach a signed letter of resignation, a certified board resolution, Certificate of Appointment and Incumbency, or other documentary evidence of the removal of the trustee.
- **A corporation or other business entity.** Attach a Vanguard 529 Organization Resolution Form dated within the last 60 days.

Allow two weeks for this Trustee Certification to be processed and for the trustees to receive confirmation of this transaction by mail.

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