



The Vanguard 529 College Savings Plan

Transfer Due to Death of Account Owner Form

- Use this form to transfer the account ownership due to death.
- **Successor account owner on file**—If a successor account owner is on file, they must complete this transfer form to become the new account owner.
- **No successor account owner on file**—If no successor account owner is on file, The Vanguard 529 College Savings Plan will take direction from the individual authorized to administer the estate of the deceased account owner (i.e., executor of the will of the deceased account owner or if there is no will, the administrator of their estate). This individual should be the person to complete this form, and supporting legal documentation verifying their authority over the estate is required.
- New accounts can be established online at vanguard.com or with a mailed Enrollment Application.
- **Print clearly in capital letters and use black ink.**

Forms can be downloaded from our website at vanguard.com/serviceforms. Or you can call us toll-free to order any form at **866-734-4530** on business days from 8 a.m. to 8 p.m., Eastern time. Return this form and any other required documents in the enclosed postage-paid envelope, or mail to **The Vanguard 529 College Savings Plan, P.O. Box 55111, Boston, MA 02205-5111**. For overnight delivery or registered mail, send to **The Vanguard 529 College Savings Plan, 140 Kendrick Street, Building C, Floor 3, Suite C320, Needham, MA 02494**.

1. Deceased account owner information

Name of account owner <i>first, middle initial, last</i>	
Last 4 digits of Social Security number	or Account number

Please list beneficiary names and corresponding account numbers below. If more than three accounts are being transferred, please attach a separate sheet of paper.

Name of beneficiary <i>first, middle initial, last</i>	Account number
Name of beneficiary <i>first, middle initial, last</i>	Account number
Name of beneficiary <i>first, middle initial, last</i>	Account number

2. New account owner information

Check the box that describes your role (*choose one*).

☐ Successor account owner on file
☐ Executor of account owner's will
☐ Administrator of account owner's estate



If you are the successor account owner on file, enter your information below. If you are the executor or an administrator, enter the information for the individual who will become the new account owner below.

Name of account owner <i>first, middle initial, last</i>	
Birth date <i>mm/dd/yyyy</i>	Last four digits of Social Security number
Daytime phone <i>area code, number, extension</i> ☐ Mobile	Email address

Y/N	Does the new account owner have an existing account for the beneficiary(ies) with The Vanguard 529 College Savings Plan? (Y/N)
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If Yes—Provide beneficiary name(s) and account number(s) below. **Note:** The beneficiary (future student) must be the same on the new account as on the decedent's account.

If No—Return *Enrollment Application* with this form.

Beneficiary name	Account number
Beneficiary name	Account number
Beneficiary name	Account number

3. Required documentation

- **If a successor account owner is on file**
 - Attach a certified copy of the account owner's death certificate.
- **If no successor account owner is on file**
 - Copy of a Certified Letter of Testamentary or Certified Letter of Administration to verify the authority of the individual completing this form.

Note: Original certification must be within 60 days of the transfer. If more than 60 days have passed, issuing authority must recertify before submitting to the plan.

 - If you would like the required documents returned to you, please provide a return address below.

Street address		
City	State	Zip

4. Signature

Successor account owner or estate executor/administrator taking or assigning control of the deceased account owner's account(s) must sign below.

As the successor account owner or estate executor/administrator, I certify that the information provided in this form is true and complete.

Full legal name <i>Please print.</i>	
Signature of successor account owner or estate executor/administrator X	Today's date <i>mm dd yyyy</i> M M - D D - Y Y Y Y